PTO/SB/21 (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE are required to respond to a collection of information unless it displays a valid OMB control number the Paperwork Reduction Act of 1995, no persons Application Number 09/837806 TRANSMITTAL Filing Date 04/18/2001 FORM First Named Inventor Agrawal Art Unit 1635 (to be used for all correspondence after initial filing) **Examiner Name** Zara, Jane Attorney Docket Number HYZ-069CN (47508-530) Total Number of Pages in This Submission 20 **ENCLOSURES** (Check all that apply) After Allowance communication 1 Drawing(s) to Group Fee Transmittal Form Appeal Communication to Board Licensing-related Papers of Appeals and Interferences Fee Attached Appeal Communication to Group 1 Petition (Appeal Notice, Brief, Reply Brief) Amendment/Reply Petition to Convert to a **Proprietary Information** After Final Provisional Application Power of Attorney, Revocation Status Letter Change of Correspondence Address Affidavits/declaration(s) Other Enclosure(s) (please (1 Month) Extension of Time Request **Terminal Disclaimer** Identify below): Postcard Request for Refund Express Abandonment Request (Supplemental)
Information Disclosure Statement CD, Number of CD(s) Remarks **Certified Copy of Priority** Document(s) Also Enclosed: Form PTO-1449 Response to Missing Parts/ - 6 references Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Ann-Louise Kerner, Ph.D. Reg. No. 33,523 Individual name Signature Cerne Date 04/13/2004 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Typed or printed name Stephanie R. Johnson 04/13/2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Signature

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FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

SUBMITTED BY

Name (Print/Type)

TOTAL AMOUNT OF PAYMENT (\$) 235.00

| Complete if Known | |
|----------------------|-----------------------|
| Application Number | 09/837806 |
| Filing Date | 04/18/2001 |
| First Named Inventor | Agrawal |
| Examiner Name | Zara, Jane |
| Art Unit | 1635 |
| Attorney Docket No. | HYZ-069CN (47508-530) |

| METHOD OF PAYMENT (check all that apply) | FEE CALCULATION (continued) | | |
|--|--|----------|--|
| Check Credit card Money Other None | 3. ADDITIONAL FEES | | |
| U U Order U U | Large Entity Small Entity | | |
| Deposit Account: | Fee Fee Fee Fee Fee Description | Coo Boid | |
| Deposit Account 08-0219 | Code (\$) Code (\$) 1051 130 2051 65 Surcharge - late filing fee or oath | Fee Paid | |
| Number Deposit Hale and Dorr LLP | 1052 50 2052 25 Surcharge - late provisional filing fee or | | |
| Account | cover sheet | | |
| Name The Director Is authorized to: (check all that apply) | 1053 130 1053 130 Non-English specification | | |
| Charge fee(s) indicated below | 1812 2,520 1812 2,520 For filing a request for ex parte reexamination | | |
| Charge any additional fee(s) or any underpayment of fee(s) | 1804 920* 1804 920* Requesting publication of SIR prior to Examiner action | | |
| Charge fee(s) indicated below, except for the filing fee | 1805 1,840* 1805 1,840* Requesting publication of SIR after | | |
| to the above-identified deposit account. | Examiner action | 55.00 | |
| FEE CALCULATION | 1251 110 2251 55 Extension for reply within first month | | |
| 1. BASIC FILING FEE | 1202 420 2202 210 | | |
| Large Entity Small Entity Fee Fee Fee Fee Paid Fee Paid | 1 -2 | | |
| Code (\$) Code (\$) | | | |
| 1001 770 2001 385 Utility filing fee | 1255 2,010 2255 1,005 Extension for reply within fifth month | | |
| 1002 340 2002 170 Design filing fee | 1401 330 2401 165 Notice of Appeal | | |
| 1003 530 2003 265 Plant filing fee | 1402 330 2402 165 Filing a brief in support of an appeal | | |
| 1004 770 2004 385 Reissue filing fee | 1403 290 2403 145 Request for oral hearing | | |
| 1005 160 2005 80 Provisional filing fee | 1451 1,510 1451 1,510 Petition to institute a public use proceeding | | |
| SUBTOTAL (1) (\$) 0.00 | 1452 110 2452 55 Petition to revive - unavoidable | | |
| 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE | 1453 1,330 2453 665 Petition to revive - unintentional | | |
| Fee from | 1001 1,000 2001 000 041111 10000 100 (011010000) | | |
| Extra Claims below Fee Paid Total Claims 20** = X | 1502 460 2503 240 Design issue lee | _ | |
| Independent 344 - V | 1460 130 1460 130 Petitions to the Commissioner | | |
| Claims 0 = 0 | 1807 50 1807 50 Processing fee under 37 CFR 1.17(q) | | |
| Large Entity Small Entity | 1806 180 1806 180 Submission of Information Disclosure Strat | 180.00 | |
| Fee Fee Fee Fee Description | Perording each natent assignment per | | |
| Code (\$) Code (\$) 1202 18 2202 9 Claims in excess of 20 | property (times number of properties) | | |
| 1202 18 2202 9 Claims in excess of 20 1201 86 2201 43 Independent claims in excess of 3 | 1809 770 2809 385 Filing a submission after final rejection (37 CFR 1.129(a)) | | |
| 1203 290 2203 145 Multiple dependent claim, if not paid | 1810 770 2810 385 For each additional invention to be examined (37 CFR 1.129(b)) | | |
| 1204 86 2204 43 ** Reissue independent claims over original patent | 1801 770 2801 385 Request for Continued Examination (RCE) | | |
| 1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent | 1802 900 1802 900 Request for expedited examination of a design application | | |
| SUBTOTAL (2) (\$) 0.00 | Other fee (specify) | <u> </u> | |
| SUBTOTAL (2) (\$) 0.00 **or number previously paid, if greater, For Reissues, see above | *Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) | 235.00 | |
| (Complete (if applicable)) | | | |

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Ann-Louise Kerner, Ph.D.

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Registration No.

(Attorney/Agent)

33,523

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04/13/2004